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CERTIFICATE OF MAILING

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Commissioner for Patents
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Alexandria, Virginia 22313-1450**

on June 15, 2004.

Anne Antonoff
Anne Antonoff

In Re Application of:

Carver, et al.

Serial No.: 10/795,879

Filed: March 8, 2004

Confirmation No.: 2091

Group Art Unit: 3728

Examiner: TBA

Docket No.: 61404-1100

For: Container and Method for Storing or Transporting Spent Nuclear Fuel

The following is a list of documents enclosed:

Return Postcard
Information Disclosure Statement
Form 1449 (A-AF)
Cited References

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Carver, *et al.*

Serial No.: 10/795,879

Filed: March 8, 2004



Confirmation No.: 2091

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Examiner: TBA

Docket No.: 61404-1100

For: **Container and Method for Storing or Transporting Spent Nuclear Fuel****INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 CFR 1.97(b), or
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement Under 37 C.F.R. 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
 (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
 (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Enclosed is a check in the amount of \$

Enclosed is Credit Card Payment Form (PTO-2038) in the amount of \$

Please charge \$ to deposit account . At any time during the pendency of this application, please charge any fees required to Deposit Account pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

- ☒ Applicant(s) submit herewith *Form PTO 1449A - Information Disclosure Statement by Applicant* together with copies (where required) of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

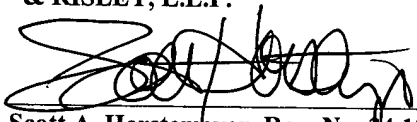
This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,


**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**

By:



Scott A. Horstemeyer, Reg. No. 34,183

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Form PTO-1449					Attorney Docket No. 61404-1100		Serial No. 10/795,879	
 <p style="margin-top: 10px;">(Use several sheets if necessary)</p>					Applicant Carver, et al.			
					Filing Date March 8, 2004		Group 3728	

U.S. PATENT DOCUMENTS							
Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	6,256,363	07/03/01	Methling, et al.	376	272	
	B	6,064,710	05/16/00	Singh	376	272	
	C	6,009,136	12/28/99	Loftis, et al.	376	272	
	D	5,909,475	06/01/99	Wells, et al.	376	272	
	E	5,881,120	03/09/99	Chanzy, et al.	376	272	
	F	5,550,882	08/27/96	Lehnert, et al.	376	272	
	G	5,384,813	01/24/95	Loftis, et al.	376	272	
	H	5,361,281	11/01/94	Porowski	376	272	
	I	5,245,641	09/14/93	Machado, et al.	376	272	
	J	5,121,849	06/16/92	Ellingson, et al.	220	248	
	K	5,114,666	05/19/92	Ellingson, et al.	376	272	

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:	DATE CONSIDERED:
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Form PTO-1449				Attorney Docket No. 61404-1100		Serial No. 10/795,879	
INFORMATION DISCLOSURE CITATION				Applicant Carver, et al.			
<i>(Use several sheets if necessary)</i>				Filing Date March 8, 2004		Group 3728	

U.S. PATENT DOCUMENTS							
Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	L	5,032,348	07/16/91	Blum, et al.	376	272	
	M	4,930,650	06/05/90	Wells	220	21	
	N	4,889,680	12/26/89	Wachter	376	261	
	O	4,857,263	08/15/89	Machado, et al.	376	272	
	P	4,827,139	05/02/89	Wells, et al.	250	507	
	Q	4,803,042	02/07/89	Gilmore, et al.	376	272	
	R	4,800,283	01/24/89	Efferding	250	507.1	
	S	4,781,883	11/01/88	Daugherty, et al.	376	272	
	T	4,695,424	09/22/87	Flynn	376	272	
	U	4,666,659	05/19/87	Lusk, et al.	376	272	
	V	4,630,738	12/23/86	Bosshard	211	60.1	

FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>		

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U.S. PATENT DOCUMENTS							
Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	W	4,400,344	08/23/83	Wachter, et al.	376	272	
	X	4,399,366	08/16/83	Bucholz	250	507.1	
	Y	4,319,960	03/16/82	Larson, et al.	376	272	
	Z	4,177,386	12/04/79	Wachter, et al.	250	507	
	AA	4,143,276	03/06/79	Mollon	250	507	
	AB	4,096,392	06/20/78	Rubinstein, et al.	250	507	
	AC	4,088,897	05/09/78	Soot	250	507	
	AD	4,063,999	12/20/77	Wade	176	28	
	AE	4,034,227	07/05/77	Soot	250	507	
	AF	3,731,101	05/01/73	Peterson, et al.	250	106	

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>	

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